



Statement on Conflict Minerals

Overview of the Conflict Minerals Issue

The Democratic Republic of the Congo (“DRC”) is a Central African country with reserves of cassiterite (tin), columbite-tantalite (tantalum), wolframite (tungsten) and gold. Armed groups controlling mines of such resources smuggle minerals out of the DRC and the proceeds are used to further finance conflict and criminal behavior; hence, cassiterite, tantalite, wolframite and gold are considered Conflict Minerals (“CM”).

The Dodd-Frank Wall Street Reform and Consumer Protection Act contains requirements that listed U.S. companies report to the Securities and Exchange Commission (“SEC”) on the origin of CM or their derivatives and show due diligence if CM are sourced from the DRC or an adjoining country.

Per the SEC Section 1502 regulations, CM contained in the product that are necessary to the functionality or production of that product are subject to diligence if the product seller manufactures or contracts to manufacture the product.

DRC Conflict Mineral Free

DRC CM-Free materials do not contain conflict minerals that directly or indirectly finance or benefit armed groups in the Democratic Republic of the Congo or an adjoining country. This term also applies to materials determined to be processed from scrap and/or recycled material.

Form Technologies Inc. Conflict Minerals Policy

Form Technologies Inc. supports industry efforts to move toward a CM-Free supply chain. Our core business of custom die casting zinc, aluminum and magnesium products and investment casting of ferrous-based and aluminum alloys does not contain CM’s.

Where our customers require that our die castings are surface-coated or include additional non-die cast sub-assembly components, some of these processes may contain minerals that are subject to CM regulation. In such circumstances, we are working closely with our suppliers to examine the supply chain for these products and identify processes/components, if any, which may contain conflict minerals. Our goal will be to ensure and verify that these components do not come from implicated CM zones.

Form Technologies Inc. Supplier Obligations

Suppliers and subcontractors to Form Technologies Inc. and its subsidiaries (“the Company”) must develop policies toward preventing the use of CM’s or derivative metals sourced from mines controlled by armed groups in all items to be supplied to or on behalf of the Company. In addition, all suppliers and subcontractors to the Company must document their efforts to determine the source of any CM’s or derivatives and provide the Company with evidence of the origin of the metals tantalum, tin, tungsten and gold in products to be supplied to, or manufactured on behalf of, the Company.

As part of any supplier or subcontractor agreement with the Company, such supplier or subcontractor shall be required to represent and certify that it has reviewed the Company’s corporate policy and agrees not to infringe the Company’s direct or indirect requirements for CM diligence, disclosure or certification. The supplier or subcontractor shall take all steps directed and necessary to comply with any reasonable request for information or additional diligence regarding the supply chain and use of CM contained in any component or product sold to, or manufactured on behalf of Company.